Theoretical study of structure and reactions of metalated oximes and oxime ethers

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Abstract - The potential energy surfaces of acetaldoxime carb-anion and its ion pairs formed with lithium and sodium cations have been explored with ab initio methods to model and study the regiochemistry of metalated oxime ethers. Planar structures of the carbanions produced by deprotonating acetaldoxime are minima on the potential energy surface. The syn-isomer is 2.6 Kcal/mole more stable than the anti. This difference is not a manifestation of cyclic conjugation but more likely is a result of electrostatic effects. Two chiral and almost isoenergetic minima have been located for the ion pairs formed by either of the isomeric carbanions with Lit or Nat. The gegenion engages either in face coordination or bridges the NO-bond in a n*-fashion. In oxime ethers face coordination is expected to become dominant for steric reasons. LiC-contacts are surprisingly long in all of the ion pairs. Bonding to the metals in the ion pairs is predominantly ionic. Ion pair formation increases the syn preference energy compared to the free anions, and the syn preference energy is greater for Nat than for Lit. Reactions with electrophiles via the syn-coordinated metal permits prior coordination and ion pair formation in the product.

INTRODUCTION

The formation of a new carbon-carbon bond in the a-position to a carbonyl group is one of the most important reactions of modern synthetic organic chemistry but the control of the regiochemistry is an especial problem that has received much recent attention. The introduction of metalated enolate equivalents (ref. 1-3) has resolved many of these problems and opened a wide field of modern synthetic chemistry (ref. 4). Many metalated N-derivatives of carbonyl compounds, R,RaC=N-X, have been used as enolate equivalents. Oxime ethers are typical examples (ref. 5-8) and form the basis for the present study.

One of the most important characteristics of these organometallic reagents is the high regioselectivity of their formation; a remarkable preference for the syn-configured enolate is generally observed (ref. 9,10). In a typical reaction sequence the N-derivative of the carbonyl compound is generated, deprotonated with a strong metallorganic base (BuLi, LDA, LDEA, KDA and others) at low temperature in THF and reacted with the electrophilic reagent. This sequence, terminated by the regeneration of the carbonyl function, has been successfully used to produce a great variety of synthetically valuable mono-, bis- and polyfunctional molecules under mild conditions and in high yields. Carbon-carbon bond formations by oxidative addition of the enolate intermediate have also been reported (ref. 3,8). Since the reactions involve relatively non-polar solvents, such as ethers, ion pairs rather than free carbanions are clearly implicated. Low temperature deprotonations also raise the question of whether the syn-regiochemistry found is a kinetic rather than a thermodynamic phenomenon.

These questions are studied in the present work with ab initio calculations of isomeric carbanions of oximes and their monomeric lithium and sodium derivatives as models for metalated oxime ethers (ref. 11). Some reaction transition structures have also been determined for these compounds. The role of aggregated intermediates in these reactions has not been settled (ref. 12, 13); most recent discussions have assigned a primary role to the monomeric metalated species.
METHODS

Standard single-determinant spin-restricted Hartree-Fock calculations were performed with the programs GAUSSIAN 80 and GAUSSIAN 82 (ref. 14). Structures of stationary points were optimized simultaneously using analytical gradient techniques (ref. 15). Optimizations were carried out under the constraints of the symmetry point group specified. Because of the sizes of the systems, the considerable numbers of internal degrees of freedom and the shallow nature of the energy surfaces of ion pairs studied the split-valence but still relatively small 3-21G basis set (ref. 16) was used for the structural optimizations. For the calculation of the carbanions the 3-21G basis set was augmented by single diffuse sp-shells (ref. 17-19). The metal cations were described by the 3-21G basis set to provide a more balanced functional description of the paired ions (ref. 20). Energies were calculated with these geometries and the 6-31G* basis set (ref. 21). The standard 6-31G* basis set was modified in that no d-functions were used for the description of the metal atoms. These functions are not necessary for the proper description of the metal cations but would increase the number of empty orbitals at the metal atoms and lead to increased basis set superposition (ref. 20). The 6-31G* basis set was augmented by diffuse sp-shells as in the case of the 3-21G basis set to give the 6-31G* basis set. Harmonic vibrational frequencies were calculated at the level of optimization to characterize stationary points as minima or saddle points and to obtain vibrational zero-point energies. The vibrational zero-point energy corrections to relative energies were scaled by 0.9 (ref. 22).

Projected electron density functions \( P(x, z) \) were calculated with the program PROJ (ref. 23). Demarkation of spatial regions (ref. 24) of \( P(x, z) \) and integrations of the contained projected density yield Integrated Projection Populations (IPP). Populations thus obtained are good approximations (ref. 25) to Bader's atomic populations (ref. 26). The electrostatic properties of the carbanions were evaluated with the program MEPHISTO (ref. 27).

The \textit{ab initio} calculations were carried out in part on our VAX-11/750, the VAX-8800 of the Campus Computer Facility and the Cray II at the San Diego Supercomputer Center.

RESULTS AND DISCUSSION

Formaldoxime and Acetaldoxime

The structures of formaldoxime, 1, and of two methyl rotomers each of \( Z, 2 \), and \( E, 3 \), acetaldoxime, were optimized at the 3-21G level. The 6-31G* energies of the structures are summarized in Table 1. These structures will be discussed elsewhere (ref. 25).

Structures, Bonding and Relative Energies of the Isolated Carbanions

Two \( C_2 \)-symmetric planar structures are minima on the potential energy surface, 4, in which the deprotonated carbon atom and the hydroxyl group are \( \text{syn} \) and 5, in which they are \( \text{anti} \). The structures are summarized in Fig. 1 and their energies are given in Table 1.

A \textit{syn}-preference energy of 2.6 Kcal/mole has been found for the carbanions of acetaldoxime at 6-31G*/3-21G. This SPE is reduced to 2.0 Kcal/mole when vibrational zero-point corrections are taken into account. The \textit{syn}-preference is more pronounced for the carbanions of acetketoxime for which an SPE of 7.3 Kcal/mole has been determined. The proton affinity of 4 (5) with respect to 2 (3) is 390.1 (392.7) Kcal/mole at 6-31G*/3-21G. The difference of 2.4 Kcal/mole between the proton affinities of 4 and 5 represents a quantitative measure of the change in the \textit{syn}-preference energy associated with deprotonation.

Electronic structure of oxime carbanions. The additional stabilization of the \textit{syn}-carbanion has usually been considered to be caused by homocyclic conjugation. Analysis of the projected electron density function suggests that such conjugation is unimportant. The hydroxyl-0 and the C(C)-atom have AO-coefficients in the HOMOs that are of comparable magnitude and with the proper phase to allow for 1,4-through-space overlap in the \textit{syn}-carbanions. These features are necessary but not sufficient requirements to produce sufficient overlap that mediates homocyclic conjugation. The contour map of the projection electron density function of the \textit{syn}-carbanion of acetal-
TABLE 1. Energies as obtained at 3-21+G and 6-31+G* and vibration zero-point energies calculated at the level of optimization.

<table>
<thead>
<tr>
<th>Molecule(^b) and Symmetry</th>
<th>3-21+G (-\text{Energy}(\alpha) (au)</th>
<th>6-31+G*/3-21+G ZPE(^c)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Cs HONCH(_2)</td>
<td>167.897520</td>
<td>168.840999</td>
</tr>
<tr>
<td>2a. Cs Z-HONCHCH(_3)</td>
<td>206.725238</td>
<td>207.879341</td>
</tr>
<tr>
<td>2b. Cs E-HONCHCH(_3)</td>
<td>206.725048</td>
<td>207.879498</td>
</tr>
<tr>
<td>3a. Cs</td>
<td>206.724687</td>
<td>207.880600</td>
</tr>
<tr>
<td>3b. Cs</td>
<td>206.721957</td>
<td>207.877484</td>
</tr>
<tr>
<td>4. Cs syn-X(^-)</td>
<td>206.150687</td>
<td>207.257410 38.91</td>
</tr>
<tr>
<td>5. Cs anti-X(^-)</td>
<td>206.148192</td>
<td>207.253275 38.27</td>
</tr>
<tr>
<td>6a. Cl syn-X(^+)Li(^+)</td>
<td>213.605497</td>
<td>214.751871 41.42</td>
</tr>
<tr>
<td>6b. Cl syn-X(^+)Li(^+)</td>
<td>213.603981</td>
<td>214.751743 41.29</td>
</tr>
<tr>
<td>7a. Cl anti-X(^+)Li(^+)</td>
<td>213.590698</td>
<td>214.746764 41.03</td>
</tr>
<tr>
<td>7b. Cl anti-X(^+)Li(^+)</td>
<td>213.604659</td>
<td>214.746844 40.97</td>
</tr>
<tr>
<td>8a. Cl syn-X(^+)Na(^+)</td>
<td>367.074814</td>
<td>369.132453 41.39</td>
</tr>
<tr>
<td>8b. Cl syn-X(^+)Na(^+)</td>
<td>367.074562</td>
<td>369.129062 41.03</td>
</tr>
<tr>
<td>9a. Cl anti-X(^+)Na(^+)</td>
<td>367.057732</td>
<td>369.121241 40.40</td>
</tr>
<tr>
<td>9b. Cl anti-X(^+)Na(^+)</td>
<td>367.066987</td>
<td>369.121327 40.21</td>
</tr>
</tbody>
</table>

\(\alpha\)Molecules 1-3 were calculated without augmentation by diffuse functions.

\(\beta\)HONCH\(_2\)\(^-\) = X\(^-\).

\(\gamma\)Unscaled.

doxime (Fig. 2) shows no significant amount of electron density between the hydroxyl-O and the CH\(_2\)-carbon. If there were a significant amount of 1,4-overlap then a bond path would exist between the carbanionic center and the oxygen atom and the electron density cross-section in the molecular plane would exhibit a critical point of rank 3 and signature +1, (3, +1), in the center of the cyclic \(m\)-system and a critical point of rank 3 and signature -1 along the bond path (ref. 26). The projection of the 3-dimensional electron density into the molecular plane would lead to the corresponding projected critical points (2, +2) and (2, 0)\(_p\) of the projected electron density distribution (ref. 25). These projected critical points are not present in the projected electron density function of the syn-carbanion of acetaldoxime. We suggest that the most reasonable explanation for the syn preference of the carbanions is the electrostatic repulsion between the nitrogen lone pair and the carbanion carbon which are farther apart in the

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Fig. 1. Optimized structures of isomeric planar carbanions of acetaldoxime, 4 (syn, left) and 5 (anti, right).
syn-carbanion. However, one has to keep in mind that the energy difference is small and is undoubtedly the result of a delicate balance of a manifold of factors (structure, electronic structure, electrostatic properties of the wavefunction) and all of these factors are different in the isomeric molecules.

One of the most important properties of the oxime carbanions is their charge distribution since the charge distribution is the dominant factor that determines the structures of the ion pairs. The electron density analysis of the isomeric carbanions 4 and 5 reveals that most of the charge is localized on the heteroatoms (Fig. 2) and on nitrogen in particular. The IPP-values of the nitrogen atom and of the hydroxy-group are -0.78 (-0.75) and -0.35 (-0.38) in 4 (5), respectively. The charges of the carbanionic carbon atoms are -0.42 (4) and -0.46 (5) and they are much smaller than the charges on nitrogen. Note that the total negative charge of the HON-fragment is slightly greater than unity. The resulting charge distribution thus indicates localization of most of the anionic charge on the heteroatoms. The negative charge on the terminal CH₂-group results in part from intramolecular charge transfer from the CH-unit to the CH₂-group; that is, the charge on the HON-fragment polarizes the CHCH₂-fragment.

Lithium and sodium ion pairs of oxime carbanions. All minima of the lithium and sodium ion pairs have been found to be chiral (C₁). For each of the ion pairs formed by the syn- or the anti-configured carbanions of acetaldoxime two topologically different mining have been located. The metal cation engages either in a formal \( \eta^2 \) (syn) or \( \eta^3 \) (anti) face coordination or bridges the NO-bond in a \( \eta^2 \)-fashion. The structures of the lithium ion pairs of the syn- (6a, \( \eta^4 \)-face coordination; 6b, \( \eta^3 \)-NO-bond coordination) and the anti-configured carbanions (7a, \( \eta^3 \)-face coordination; 7b, \( \eta^3 \)-NO-bond coordination) of acetaldoxime are shown in Fig. 3. Other stationary structures have been found for the lithium ion pairs of the carbanions of acetaldoxime. All of these stationary structures represent either second-order saddle points or transition state structures for the isomerisation of ion pairs with different hapticity of the metal coordination, for racemization of enantiometric ion pairs or for the interconversion of syn/anti-isomers.

Drawings of the corresponding sodium derivatives are shown in Fig. 4: syn-configured isomers 8a (face coordination) and 8b (NO-bond coordination), and anti-configured isomers 9a (face coordination) and 9b (NO-bond coordination).

The lithium cation assumes a bridging position that results in formal \( \eta^2 \)-coordination in the anti-configured ion pair 7a. The LiN-distance is 1.87 Å and the distance between Li⁺ and the carbanionic carbon is considerably longer, 2.27 Å. In the syn-configured molecule 6a lithium coordinates in a
Structure and reactions of metalated oximes

Fig. 3. Molecular models of the chiral lithium ion pairs of isomeric acetaldoxime carbanions. Numbers are relative energies in Kcal/mole based on 6-31+G*/3-21+G SCF calculations; the second number in each pair includes correction for zero point energy.

Fig. 4. Sodium ion pairs of isomeric acetaldoxime carbanions. Relative energies are given as in Fig. 3.
n^4-fashion. This ion pair contains a characteristic triangular arrangement formed by Li^+ in bridging the NO-bond. The LiO- and LiN-distances are 1.78 Å and 1.98 Å, respectively, and the distance between Li^+ and the carbanionic carbon is remarkably long, 2.50 Å. The short distances to the heteroatom(s) indicate the dominant role of the heteroatom(s) in the determination of the position of the cation, whereas the LIC-contact is less important. Although the LIC-distance becomes significantly shortened in the anti-configured ion pairs, due to the lack of oxygen chelation, the lithium bridges in a highly unsymmetrical fashion.

The electrostatic potentials of 4 and 5 are especially revealing as to why the cations assume the positions found in the calculations of the ion pairs. Figures 5 and 6 are contour maps of the electrostatic potentials with inclusion of polarization corrections for 4 and 5, respectively, for planes that are parallel to the molecular plane (xy-plane) of the carbanions and at z-values approximately those of the lithium. The circles drawn correspond to the closest normal approach of lithium to the ligand atoms (O: 1.8 Å; N: 1.9 Å; C: 2.0 Å). The maximum electrostatic stabilization consistent with these distances is found at points close to the actual positions of lithium optimized for the fixed carbanions (marked Li in the figures). These close agreements provide compelling evidence for the dominantly ionic nature of the bonds to lithium in the ion pairs.

Fig. 5. Electrostatic potential for a plane in 4 1.5 Å from the molecular plane. Contours start at -0.34 au with a level spacing of 0.01 au.

Fig. 6. Electrostatic potential for 5 as in Fig. 5. The tendency of the gegenion to assume a position as close to the heteroatom(s) as possible is enhanced for Na^+ as compared to Li^+. In the sodium ion pair of syn-configured acetaldoxime, 8a, the NaO-, NaN- and NaC(C)-distances are 2.02, 2.14 and 3.05 Å, respectively. The increase in bondlengths between the heteroatoms and sodium as compared to lithium (Δ(M-O) = 0.24 Å, Δ(M-N) = 0.16 Å) is less than the difference in the ionic radii of the alkali metals, 0.29 Å, whereas the opposite is true for the carbon-metal bond (Δ(M-C) = 0.45 Å). The NaN- and NaC(C)-distances in the anti-configured sodium ion pair, 9a, are 2.03 and 2.59 Å, respectively. The large reduction of the NaN-distance by 0.46 Å compared to the syn-configured isomer 8a demonstrates the increased importance of the metal-carbon contact when the hydroxy1-O is not available for chelation. The Mn-distance is only lengthened by 0.16 Å compared to 7a, whereas the MC-distance is lengthened by twice that amount. Replacement of Li^+ by Na^+ does not give simple changes in bond distances. Sodium assumes an equilibrium position in 9a in which the ONa- and the NCC-planes are almost perpendicular, whereas the plane defined by the NO-bond and lithium is significantly bent toward the CH group in the corresponding lithium ion pairs. The resulting coordination in the sodium case is thus more a n^3 coordination of the heteroatoms rather than a n^4-face coordination.
The $n^2$-NO-bond coordinated structures are best described as metalated vinyl-substituted hydroxylimides. NO-bond coordination results in greatly shortened metal-nitrogen bond distances compared to face coordination. The Li-N bondlength in the syn-configured ion pair 6b is 0.16 Å shorter than in 6a and the Na-N bondlength in 6b is 0.11 Å shorter than in 8a. The M-O bond distances are virtually identical for the lithium ion pairs of the syn-configured carbanion and the NaO-bond distance in 8b is only slightly increased from 1.87 Å in 6a. 1.82 Å in 6b) is less significant and the Na-N bond is 2.03 Å in both sodium derivatives 9a and 9b. The differences of the bondlengths between the heteroatoms and sodium compared to lithium show the same trend as for the face coordinated ion pairs. Replacement of Lit by Na* causes increases of the MN- and MO-bondlengths ($\Delta$(M-N) = 0.21 Å, $\Delta$(M-O) = 0.24 Å) that are smaller than the difference of ionic radii of the alkali metals (0.29 Å). The CN-bond lengthens by 0.05 Å and the CC-bond shortens by 0.04 Å in going from face to NO-coordination in the anti-configured lithium ion pair. These structural alternations are in agreement with the reorganization of the aza-allyl type electronic structure in 7a to the vinylimide type structure in 7b and also show that the charge delocalization within the anion depends to some extent on the position of the gegenion. Surprisingly, the change of coordination mode in the syn-configured ion pairs 6a and 6b leaves the CN-bond length unchanged and the CC-bond length is affected but little (0.02 Å). This indicates formally $n^2$-face coordinated ion pairs 6a might be better described as a second version of the $n^2$-NO-bond coordinated ion pair. Similar trends are observed for the bondlength alterations in the isomeric sodium ion pairs.

Relative energies and syn-preference energies. The lithium and sodium ion pairs formed with the same geometrical isomer of the acetaldoxime carbanion but with different hapticities are essentially isoenergetic. Only the sodium ion pairs of the syn-configured carbanion show a preference for one of the isomeric ion pairs; the formally face coordinated ion pair 8b is favored over ion pair 8a by 1.8 Kcal/mole. Replacement of the hydroxy-group by an alkoxy group is not expected to affect the face coordinated ion pairs to a great extent. In all of the optimized ion pair structures with the gegenion engaged in a face coordination the orientation of the hydroxyl group is such that the introduction of the alkyl group should be sterically unhindered. The relative energies of the face coordinated ion pairs are therefore expected to carry over from metalated oximes to metalated oxime ethers. In contrast, the $n^2$-NO-bond coordinated ion pairs may be affected by such a replacement. Introduction of an alkoxy group into the sodium ion pairs 8b and 9b would probably destabilize these ion pairs due to steric repulsion. In the case of the syn-configured ion pair, the alkoxy group may perhaps even cause the NO-bridged structure to vanish as a local minimum of the potential energy surface. The NO-bridged lithium ion pairs are comparatively less subject to steric destabilization since the $\beta$-angles are larger. In any case, it is reasonable to assume that the ion pairs involving face coordination are energetically favored over $n^2$-NO-bond coordinated ion pairs for metalated oxime ethers. The following discussion of the syn preference energies therefore refers to the face coordinated ion pairs.

The 6-31+G*/3-21+G syn-preference energy (SPE) is 2.6 Kcal/mole for the isolated carbanions of acetaldoxime. Ion pair formation increases the SPE to 3.2 Kcal/mole for Li* and 7.0 Kcal/mole for Na*. Consideration of vibrational zero-point energy corrections gives slightly smaller SPE-values: 2.0 Kcal/mole for the anions, 3.0 Kcal/mole for the lithium ion pairs 7b and 8b, 6.2 Kcal/mole for the sodium derivatives. These results suggest that bases with larger cations may achieve increased regioselectivity.

Reactions with electrophiles. A number of transition structures have been found for the lithium ion pairs and will be discussed elsewhere (ref. 25). The lithium ion pairs are chiral and can interconvert or racemize by several mechanisms. One of the racemization mechanisms has been found to involve chiral transition states. All of these processes occur with only small barriers and should be facile. Thus, any of these structures is readily available as a reactant intermediate. In reactions with electrophilic reagents, coordination with lithium has frequently been invoked as an essential part of the sequence (ref. 28). Such prior coordination would generally imply reaction with the electrophile at the same side as the lithium although alternative stereochemistries have been proposed (ref. 12). Moreover, cases are known in which protonation and alkylation occur at different sides.
of a lithium coordinated carbanion (ref. 29). We have studied theoretically
the reaction of the lithium ion pair of the syn-carbanion of acetaldoxime
with hydrogen fluoride leading to acetaldoxime and lithium fluoride. The
hydrogen fluoride was allowed to approach the molecule from the same side as
lithium (cis) and from the opposite side (trans). All of the molecules
involved in the protonation reaction were calculated with the 3-21G basis
set. At this basis set level the reaction is exothermic by 28.2 Kcal/mole.
The bond distances between lithium and the skeleton atoms of the anion in
the ion pair are significantly reduced at the 3-21G level compared to the 3-
21+G calculations. The shortening of these bonds indicates a larger basis
set superposition error at 3-21G. The reaction was also studied at 3-21G
with the inclusion of a shell of diffuse sp-functions on F, 3-21+[F]G. The
structural effects are small but energies are affected significantly.

The approach of HF from the metal-coordinated face of the ion pair results
in the coordination of HF to the lithium cation followed by orientation of
the HF toward the reactive center and reaction without an activation bar-
rier. Optimization of the positions only of Li+ and HF while keeping all
other parameters those of the isolated lithium ion pair gives a structure
that is not a true energy minimum complex but does show a favorable
positioning of the HF for reaction with the carbanion. Proton transfer
results in the complex between acetaldoxime and lithium fluoride shown in
Fig. 7.

Reaction of HF with the ion pair from the side opposite to the metal coor-
dination (trans) does not occur in this gas phase model. This result was
obtained even with diffuse functions on fluorine. Hydrogen fluoride ap-
proaches the reactive center (Fig. 8) but the proton transfer is impeded
because it would leave the ions Li+ and F− on opposite sides of the reaction
product acetaldoxime.

In solution the exothermicity of the reaction from the syn-side would be
reduced since the electrophile would have to compete with solvent molecules
in order to achieve the precoordination necessary to place fluorine close to
the lithium cation. Indeed, the replacement of a coordinating solvent by the
more poorly coordinating HF may well contribute to an activation barrier. In
contrast, the reaction involving the entry of the electrophile from the
opposite side would probably involve different solvation factors. The calcu-
lated energies are therefore of little significance. The important point to
be made is that lithium cannot pass through the plane of the anion as the
electrophile approaches from the opposite side. The inability of the lithium
cation to change sides upon trans-entry of an electrophile leaves the
lithium and the fluoride ions far apart and it seems unlikely that such a
situation could be competitive with the ideal arrangement of the reactants
in the case of the cis-entry.
The above reaction deals only with monomers. A recent MNDO study has indicated that lithiated oxime ether dimers may be present in solution but that reaction with electrophiles probably involves only the monomeric ion pairs. The calculations suggest a general mechanism for the reaction of metalated oxime ethers with electrophiles. Entry of the electrophilic reagent into the primary solvation shell of the gegenion leads to precordination between the reagent and the metalated oxime ether. Orientation of the reagent initiates reaction and leads to the \( \alpha \)-substituted oxime ether. Dissociation of the post-reaction complex between the oxime ether and the lithium salt terminates the reaction sequence.

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